

Message Text

UNCLASSIFIED

PAGE 01 BOMBAY 01710 200809Z

ACTION EB-07

INFO OCT-01 NEA-10 ISO-00 SEC-01 COME-00 TRSE-00 OMB-01

FRB-01 L-03 H-02 CIAE-00 INR-07 NSAE-00 /033 W

-----125286 200827Z /15

R 200537Z JUL 77

FM AMCONSUL BOMBAY

TO SECSTATE WASHDC 7466

INFO AMEMBASSY NEW DELHI

AMCONSUL CALCUTTA

AMCONSUL MADRAS

UNCLAS BOMBAY 1710

E. O. 11652: N/A

TAGS: EINV, IN

SUBJ: SALE OF FOREIGN SECURITIES IN US

1. UNIT TRUST OF INDIA (UTI), 45 VEER NARIMAN ROAD, BOMBAY 400 023, A STATUTORY GOVERNMENT-OWNED MUTUAL FUND, IS INVESTIGATING PROSPECTS OF SELLING ITS ISSUES ABROAD, MAINLY TO EXPATRIATES. IN THIS CONNECTION, IT IS COLLECTING DATA ON THE MARKETABILITY OF UNITS IN SELECTED COUNTRIES INCLUDING THE UNITED STATES.

2. UTI HAS REQUESTED US FOR INFORMATION ON US GOVERNMENT REGULATIONS RELATING TO SALE OF FOREIGN SECURITIES AND OTHER INVESTMENT SCRIPTS. UTI IS ALSO INTERESTED IN KNOWING THE PROCEDURAL STEPS REQUIRED TO BE TAKEN IF SALE OF SUCH SECURITIES IS PERMISSIBLE.

3. REFERENCE SOURCES AVAILABLE AT THE POST DO NOT PROVIDE

THE REQUESTED INFORMATION. INASMUCH AS UTI IS A VALUED FINANCIAL CONTACT OF THIS OFFICE, WE WOULD APPRECIATE RECEIVING BRIEFING DATA FOR UTI, INCLUDING RELEVANT ACTS AND PROCEDURAL GUIDELINES.

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PAGE 02 BOMBAY 01710 200809Z

ROSENTHAL

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NNN

Message Attributes

Automatic Decaptioning: X
Capture Date: 01-Jan-1994 12:00:00 am
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: SALES, SECURITIES, FOREIGN INVESTMENTS
Control Number: n/a
Copy: SINGLE
Sent Date: 20-Jul-1977 12:00:00 am
Decaption Date: 01-Jan-1960 12:00:00 am
Decaption Note:
Disposition Action: n/a
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01-Jan-1960 12:00:00 am
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1977BOMBAY01710
Document Source: CORE
Document Unique ID: 00
Drafter: n/a
Enclosure: n/a
Executive Order: N/A
Errors: N/A
Expiration:
Film Number: D770257-0721
Format: TEL
From: BOMBAY
Handling Restrictions: n/a
Image Path:
ISecure: 1
Legacy Key: link1977/newtext/t19770740/aaaabibr.tel
Line Count: 56
Litigation Code IDs:
Litigation Codes:
Litigation History:
Locator: TEXT ON-LINE, ON MICROFILM
Message ID: 3d380467-c288-dd11-92da-001cc4696bcc
Office: ACTION EB
Original Classification: UNCLASSIFIED
Original Handling Restrictions: n/a
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 2
Previous Channel Indicators: n/a
Previous Classification: n/a
Previous Handling Restrictions: n/a
Reference: n/a
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 02-Feb-2005 12:00:00 am
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 1810985
Secure: OPEN
Status: NATIVE
Subject: SALE OF FOREIGN SECURITIES IN US
TAGS: EINV, IN, US
To: STATE
Type: TE
vdkgvwkey: odhc://SAS/SAS.dbo.SAS_Docs/3d380467-c288-dd11-92da-001cc4696bcc
Review Markings:
Margaret P. Grafeld
Declassified/Released
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22 May 2009
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